

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

Security Traders Association Political Action Committee

ADDRESS (number and street)

420 Lexington

Suite 2334

☐Check if different
than previously
reported. (ACC)

New York

NY

10170

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00326256

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☒

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post-Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

10

01

2006

through

10

18

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Meredith G. Kelley

Signature of Treasurer

Electronically Filed by Meredith G. Kelley

Date

10

26

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Security Traders Association Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	0	0	1	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
1	0	1	8	2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2006		21863.50
(b) Cash on Hand at Beginning of Reporting Period	9283.45	
(c) Total Receipts (from Line 19)	3500.00	7841.62
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	12783.45	29705.12
7. Total Disbursements (from Line 31)00	16921.67
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	12783.45	12783.45
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

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Write or Type Committee Name

Security Traders Association Political Action Committee

Report Covering the Period:

From:

M M
1 0D D
0 1Y Y Y Y
2 0 0 6

To:

M M
1 0D D
1 8Y Y Y Y
2 0 0 6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	3000.00	4500.00
(i) Itemized (use Schedule A)		
(ii) Unitemized	500.00	2315.00
(iii) TOTAL (add Lines 11(a)(i) and (ii) ➡	3500.00	6815.00
(b) Political Party Committees00	1000.00
(c) Other Political Committees (such as PACs)00	.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ➡	3500.00	7815.00
12. Transfers From Affiliated/Other Party Committees00	.00
13. All Loans Received00	.00
14. Loan Repayments Received00	.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)00	.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees00	.00
17. Other Federal Receipts (Dividends, Interest, etc.)00	26.62
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)00	.00
(b) Levin Funds (from Schedule H5)00	.00
(c) Total Transfer (add 18(a) and 18(b)).	.00	.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	3500.00	7841.62
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	3500.00	7841.62

DETAILED SUMMARY PAGE

of Disbursements

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II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		.00	.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		.00	.00
(b) Other Federal Operating Expenditures.....		.00	2221.67
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		.00	2221.67
22. Transfers to Affiliated/Other Party Committees.....		.00	.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		.00	14700.00
24. Independent Expenditure (use Schedule E)00	.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		.00	.00
26. Loan Repayments Made.....		.00	.00
27. Loans Made.....		.00	.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees00	.00
(b) Political Party Committees00	.00
(c) Other Political Committees (such as PACs)00	.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))00	.00
29. Other Disbursements.....		.00	.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share		0.00	0.00
(ii) "Levin" Share		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		.00	16921.67
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		.00	16921.67

DETAILED SUMMARY PAGE

of Disbursements

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	3500.00	7815.00
34. Total Contribution Refunds (from Line 28(d))00	.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	3500.00	7815.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	.00	2221.67
37. Offsets to Operating Expenditures (from Line 15, page 3)00	.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)00	2221.67

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 7

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Security Traders Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
David Key Cantrell

Mailing Address 26630 Shakespeare Lane

City State Zip Code
 Stevenson Ranch CA 91381

FEC ID number of contributing federal political committee.

C

Name of Employer Information requested

Occupation
Securities Professional

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

325.00

Date of Receipt

M M / D D / Y Y Y Y
 1 0 / 1 8 / 2 0 0 6

Transaction ID: 1161877593778

Amount of Each Receipt this Period

325.00

Check

B. Full Name (Last, First, Middle Initial)
David A. Herron

Mailing Address 316 W. Maple St.

City State Zip Code
 Hinsdale IL 60521

FEC ID number of contributing federal political committee.

C

Name of Employer Self-employed

Occupation
Securities Professional

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y
 1 0 / 1 8 / 2 0 0 6

Transaction ID: 1161875946622

Amount of Each Receipt this Period

1000.00

Check

C. Full Name (Last, First, Middle Initial)
John P. Hughes

Mailing Address 1 N. Morgan Avenue

City State Zip Code
 Havertown PA 19083

FEC ID number of contributing federal political committee.

C

Name of Employer Janney Montgomery Scott LLC

Occupation
Trader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y
 1 0 / 1 8 / 2 0 0 6

Transaction ID: 1161877488967

Amount of Each Receipt this Period

500.00

Check

SUBTOTAL of Receipts This Page (optional)

1825.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 / 7

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Security Traders Association Political Action Committee

A. Full Name (Last, First, Middle Initial) Mr Matt D Lyon		Date of Receipt M M / D D / Y Y Y Y 1 0 / 1 8 / 2 0 0 6
Mailing Address 8405 Villaverde Drive		Transaction ID: 1161880342069
City State Zip Code Whittier CA 90605	Amount of Each Receipt this Period 325.00	
FEC ID number of contributing federal political committee. C	Check	
Name of Employer Info Requested Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Occupation Info Requested Aggregate Year-to-Date ▼ 325.00	

B. Full Name (Last, First, Middle Initial) Mr. Stephen O'Neill		Date of Receipt M M / D D / Y Y Y Y 1 0 / 1 8 / 2 0 0 6
Mailing Address One World Trade Center Suite 4511		Transaction ID: 1161877549701
City State Zip Code New York NY 10048	Amount of Each Receipt this Period 350.00	
FEC ID number of contributing federal political committee. C	Check	
Name of Employer Security Traders Assoc. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Occupation Chairman Aggregate Year-to-Date ▼ 350.00	

C. Full Name (Last, First, Middle Initial) Mr Daniel B Royal		Date of Receipt M M / D D / Y Y Y Y 1 0 / 1 8 / 2 0 0 6
Mailing Address W301 N 3268 Windrush Circlce		Transaction ID: 1161874621086
City State Zip Code Pewaukee WI 53072	Amount of Each Receipt this Period 500.00	
FEC ID number of contributing federal political committee. C	Check	
Name of Employer Info Requested Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Occupation Info Requested Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional)

1175.00

TOTAL This Period (last page this line number only)

3000.00